

INTERNAL AUDIT PLAN 2016/17 @ August 2016	
Job No	Departments
Corporate Services	
4116001	Risk Management
4216001	Main Accounting
4216002	VAT
4216003	Capital Accounting incl.Fixed Asset Register
4216004	Treasury Management
4216005	Investments
4216006	Pensions Payroll System
4216007	Housing Benefits
4216008	Council Tax
4216009	NNDR
4216010	Payroll System
4216011	Creditor Payments
4216012	Debtors System
4216013	Cash Receipting
4216014	Banking
4216015	Travel & Subsistence System
4216016	Trust Funds
4316001	Financial Management Other
Corporate Property	
	Property Management
	Estate Management
	Provision / livestock markets
Communities	
5116001	Disability/Adaptations/Renewals/ARBED
5116002	Houses for Homes
5116003	Tenancy Mgt
5116004	Voids
5116005	Homelessness
5116006	Rents
5116007	HRA
5216001	Contract Management/Partnerships
5216002	Direct Payments
5216003	Home Care
5216004	Residential Care Authority & Private Homes
5216005	Day Care
5216006	Learning Disabilities
5216007	Transport
5216008	Safeguarding
5216009	Supporting People
5316001	Public Health / Protection
5416001	Arts Development
5416002	Cultural: Oriel Myrddin
5416003	Heritage Services
5416004	Libraries
5516001	Amman Valley
5516002	Carmarthen
5516003	Llanelli
5516004	East Area Leisure / NERS / Marketing
5516005	Arrangements for Leisure Trust Status
5516006	Partnerships
5516007	Pembrey Country Park / MCP / Country Parks
5516008	Ski Centre
5516009	Burry Port Harbour
5616001	Financial Management Other

REPORTING					
Issues					
No. of 3 * Issues	No. of 2 * Issues	No. of 1 * Issues	Total No. Issues	Score	Assurance Level
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INTERNAL AUDIT PLAN 2016/17 @ August 2016	
Job No	Departments
	School Audits
	Primary Schools
2616001	Meithryn Rhydaman
2616002	Cefneithin C.P.
2616003	Llechfyfedach C.P. School
2616004	Ysgol Gynradd Gorslas
2616005	Ysgol Gynradd Ponthenri
2616006	Bancyfelin C.P. School
2616007	Meidrim C.P. School
2616008	Ysgol Gynradd Llanedi
2616009	Ysgol Gynradd Ffairfach
2616010	Ysgol Gynradd Llanwrda
2616011	Ysgol Gynradd Bro Brynach
2616012	Ysgol G. Llanpumsaint
2616013	Ysgol G. Mynyddygarreg
2616014	Johnstown C.P. School
2616015	Ysgol Gynradd Bynea
2616016	Dafen C.P. School
2616017	Llangennech Infants School
2616018	Furnace C.P. School
2616019	Copperworks Infant & Nursery School
2616020	Maes y Morfa
2616021	Old Road C.P. Primary School
2616022	Ysgol Llanstephan
2616023	Ysgol Gynradd Llannon
2616024	Myrddin C.P. School
2616025	Swiss Valley C.P. School.
2616026	Ysgol Gymraeg Rhydaman
2616027	Llandybie C.P. School
2616028	Ysgol Llanybydder
2616029	Burry Port Community School
2616030	Ysgol Wirfoddol Abergwili
2616031	Tremoillet V.C.P.
2616032	Laugharne V.C.P. School
2616033	Ys Gynradd Wirfoddol Llanddarog
2616034	Ysgol Gynradd Wirfoddol Llanllwni
2616035	Ysgol Wirfoddol Penboyr
	Secondary Schools
2716001	Glanymor
2716002	Strade
2716003	Bryngwyn
2716004	Maesywendraeth
2716005	QE High School
	Special Schools
2816001	Rhydygors
2816002	Heol Goffa

REPORTING					
Issues					
No. of 3* Issues	No. of 2* Issues	No. of 1* Issues	Total No. Issues	Score	Assurance Level
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SCORING METHODOLOGY

Star Rating	Weighting
3* Issue	5 Points
2* Issue	3 Points
1* Issue	1 Point

ASSURANCE LEVEL - BASED ON NUMBER OF RECOMMENDATIONS AND WEIGHTING

Scores	Assurance Level
0 to 2	HIGH: Good controls consistently applied. Low Risk of not meeting objectives. Low Risk of fraud, negligence, loss, damage to reputation.
3 to 10 with no 3* recommendations	ACCEPTABLE: Moderate controls, some areas of non compliance to agreed controls. Medium/Low risk of not meeting objectives. Medium/Low risk of fraud, negligence, loss, damage to reputation.
11 & over or including 1 or more 3* recommendations	LOW: Inadequate controls High risk of not meeting objectives. High risk of fraud, negligence, loss, damage to reputation.